

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	38	trust same (bond or stock or equity or security) same (apportion\$3 or ratio or fraction)	US-PGP UB; USPAT; EPO; JPO; DERWEN T	OR	ON	2005/03/17 12:23

reviewed KWIC text paragraphs.

NPL Business database search-----application No.  
Date of Search 03/10/05

File 15:ABI/Inform(R) 1971-2005/Mar 11  
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File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group

File 634:San Jose Mercury Jun 1985-2005/Mar 09  
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File 148:Gale Group Trade & Industry DB 1976-2005/Mar 11  
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File 20:Dialog Global Reporter 1997-2005/Mar 11  
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File 35:Dissertation Abs.Online 1861-2005/Feb  
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File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
(c) 2002 The Gale Group

File 65:Inside Conferences 1993-2005/Mar W1  
(c) 2005 BLDSC all rts. reserv.

File 2:INSPEC 1969-2005/Feb W4  
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File 474:New York Times Abs 1969-2005/Mar 09  
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File 475:Wall Street Journal Abs 1973-2005/Mar 10  
(c) 2005 The New York Times

File 99:Wilson Appl. Sci & Tech Abs 1983-2005/Jan  
(c) 2005 The HW Wilson Co.

File 348:EUROPEAN PATENTS 1978-2005/Feb W04  
(c) 2005 European Patent Office

File 349:PCT FULLTEXT 1979-2002/UB=20050310,UT=20050303

## Search Notes:

Set	Items	Description
<b>S1</b>	51	<b>(TRUST) (S) (DISTRIBUTION OR PAYOUT) (S) RATIO (S) PAR (S) VALUE (S) UNITS</b>
<b>S2</b>	1	<b>(TRUST) (S) BOND (S) (DISTRIBUTION OR PAYOUT) (S) RATIO (S) PAR (S) VALUE (S) UNITS</b>

Reviewed text containing Key words of the search string.

Date out of Priority

Different search strategies:

<b>S3</b>	2	<b>(TRUST (1W) UNITS) (S) (BOND (10N) (MATURITY AND (EQUITY OR STOCK) AND SHARES))</b>
<b>S4</b>	48071	<b>PRICE (5N) (UNITS)</b>
<b>S5</b>	0	<b>S3 AND S1</b>

No pertinent references found in above search results.

Further search with varying search concept carried out as follows.

<b>S6</b>	2	<b>S1 AND PY &lt;2001</b>
<b>S7</b>	319	<b>TRUST (S) (UNITS) (S) (BOND) (S) (EQUITY)</b>
<b>S8</b>	229140	<b>PRIC? (5N) (UNIT)</b>
<b>S9</b>	21	<b>S7 (S) S8</b>
<b>S10</b>	21	<b>RD S9 (unique items)</b>
<b>S11</b>	2	<b>S10 AND PY&lt;2001.</b>

*circled results were reviewed.  
jup 3/10/05*

The screenshot displays a patent database interface. On the left, a sidebar lists various filters: Drafts, Pending, Active, L1: (384) 705/36, L2: (11) - L1 and shares, Failed, Saved, Favorites, Tagged (0), UDC, Queue, and Trash. The main area shows a list of patents with columns for Document ID, Issue Date, Pages, Title, Current OR, Current XR, Retrieval, and Inventor. A detailed view of a patent is shown on the right, displaying the title 'L1 and shares' and a handwritten note: 'considered title and abstract, Jan - 3/15/05'.

	Document ID	Issue Date	Pages	Title	Current OR	Current XR	Retrieval	Inventor	S	C	P	Z	
1	US 6370516 B1	20020409	74	Computer based device to report the results of	705/36	705/35		Reese, John P	r	r	r	r	r
2	US 6363360 B1	20020326		System and method for analyzing and originating	705/37			Madden, Martin P.	r	r	r	r	r
3	US 6360210 B1	20020319		Method and system for enabling smaller invest	705/36	705/35; 705/37;		Wallman, Steven M. H.	r	r	r	r	r
4	US 6347307 B1	20020212		System and method for conducting web-based fi	705/35	705/36; 705/37		Sandhu, Harpal S. et al.	r	r	r	r	r
5	US 6345262 B1	20020205		System and method for implementing a mortgage	705/38	705/35		Madden, Martin P.	r	r	r	r	r
6	US 6345261 B1	20020205		Customer loyalty investment program	705/14	705/35		Feidelson, Robert S. et al.	r	r	r	r	r
7	US 6338067 B1	20020108		Product/service hierarchy database for	707/100	345/762; 379/115.01		Baker, David N. et al.	r	r	r	r	r
8	US 6338047 B1	20020108		Method and system for investing in a group of	705/36			Wallman, Steven M. H.	r	r	r	r	r
9	US 6336103 B1	20020101		Rapid method of analysis for correlatio	705/36	705/35		Baker, Nardin L.	r	r	r	r	r
10	US 6321212 B1	20011120		Financial products having a demand-based	705/37	705/1; 705/35;		Lange, Jeffrey	r	r	r	r	r
11	US 6321205 B1	20011120		Method of and system for modeling and analysis	705/7	705/40		Eder, Jeff	r	r	r	r	r

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